

JUN 20 2007

HR



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From: Stephen Hunnius

To: Certificate of Corrections
Branch

JUN 25 2007

of Correction

Phone: (202) 404-1554

Fax: (571) 273-8300

Fax: (202) 404-7380

Date: June 20, 2007 *gjt*

Cover Sheet + 12 Pages

Message:

Application Number: 09/755,375
Patent Number: 7,173,367
Navy Case No.: 82,919
Sanghera et al.

Certificate of Correction

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JUN 20 2007

Docket No.: N.C. 82,919

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Appl. No. : 09/755,375
Patent No. : 7,173,367
Applicant : Sanghera et al.
Filed : 01/05/2001
Title : Coated Non-Oxide Cathodoluminescent Phosphors, Films Thereof and
Field Emission Devices Therewith
Grp. Art Unit : 2855
Examiner : McCall, Eric Scott

June 20, 2007

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Certificate of Correction

Sir:

This is in response to the denial of our Request for a Certification of Correction. It is also in response to a phone conversation between Mr. Henry Randall and Applicants' representative, Steve Hunnius, on June 14, 2007.

As a preliminary matter, Applicants wish to thank Mr. Randall for the courtesy of his time and comments.

Applicants discussed the present request with Mr. Randall. Applicants pointed out that the application should list 6 inventors and the same 6 inventors as the parent application lists.

Application Serial No.: 09/755,375
Applicant(s): Sanghera et al.

Docket No.: N.C. 82,919

Applicants pointed out that this current application is a CIP of prior application number 09/144,105.

Priority was properly claimed and the Utility Patent Application Transmittal page states that the Declaration is a copy from the prior application. Furthermore, the box available for checking that there is a deletion of inventors was *not* checked.

Mr. Randall agreed that it seems the inventorship should have carried through and agreed to re-consider the Request for a Certificate of Correction.

Applicants hereby re-submit the papers and hereby request a reconsideration.

Again, Applicants wish to thank Mr. Randall.

If a personal or telephone interview would help expedite matters, Mr. Randall can contact Steve Hunnius at 202-404-1554.

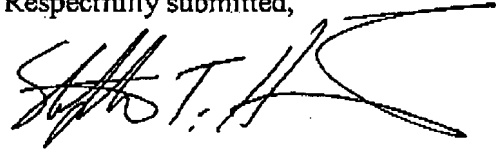
Application Serial No.: 09/755,375
Applicant(s): Sanghera et al.

Docket No.: N.C. 82,919

Kindly charge any additional fees due, or credit overpayment of fees, to Deposit Account
No. 50-0281.

Applicants respectfully request that a timely Certificate of Correction be issued in this
case.

Respectfully submitted,



Stephen T. Hunnius
Reg. No. 48,304
Naval Research Laboratory
Code 1008.2
4555 Overlook Avenue, S.W.
Washington, D.C. 20375-5325
(202) 404-1554

TRANSMISSION VERIFICATION REPORT

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Date: April 24, 2007

Cover Sheet + 6 Pages

Message:

Application Number: 09/755,375
Patent Number: 7,173,367
Navy Case No.: 82,919
Sanghera et al.

Certificate of Correction

USPTO

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From: Stephen Hearn Phone: (202) 404-1554 Fax: (202) 404-7380 Date: April 24, 2007	To: Certificate of Corrections Branch Fax: (571) 273-8300 Cover Sheet + <u>6</u> Pages
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Message:
 Application Number: 09/735,373
 Patent Number: 7,173,367
 Navy Case No.: 12,919
 Sengupta et al.
 Certificate of Correction

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PAGE 07 * RCVD AT 4/24/2007 5:18:37 PM [Eastern Daylight Time] * SVR:USPTO-EFAX-111 * DNIS:2738300 * CSID:2024047380 * DURATION (mm-ss):02:42



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Phone: (202) 404-1554

Fax: (571) 273-8300

Fax: (202) 404-7380

Date: April 24, 2007

Cover Sheet + 6 Pages

Message:

Application Number: 09/755,375
Patent Number: 7,173,367
Navy Case No.: 82,919
Sanghera et al.

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Docket No.: N.C. 82,919

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Appl. No. : 09/755,375
Patent No. : 7,173,367
Applicant : Sanghera et al.
Filed : 01/05/2001
Title : Coated Non-Oxide Cathodoluminescent Phosphors, Films Thereof and
Field Emission Devices Therewith
Grp. Art Unit : 2855
Examiner : McCall, Eric Scott

April 24, 2007

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Certificate of Correction

Sir:

This is in response to the Issue Notification mailed on 01/17/2007.

There appears to have been a PTO error in listing the names of the inventors.

A Certificate of Correction is enclosed.

Also enclosed are a copy of the Patent Application Transmittal page and a copy of the Declaration pages.

The Patent Application Transmittal page lists this current application/patent as a Continuing Application of Application No. 09/144,105 and lists that the copy of the Declaration is from the prior application.

Application Serial No.: 09/755,375
Applicant(s): Sanghera et al.

Docket No.: N.C. 82,919

If a personal or telephone interview would help expedite matters, the Examiner is requested to contact Steve Hunnius at 202-404-1554.

Kindly charge any additional fees due, or credit overpayment of fees, to Deposit Account No. 50-0281.

Applicants respectfully request that a timely Certificate of Correction be issued in this case.

Respectfully submitted,



Stephen T. Hunnius
Reg. No. 48,304
Naval Research Laboratory
Code 1008.2
4555 Overlook Avenue, S.W.
Washington, D.C. 20375-5325
(202) 404-1554

PTO/SB/44 (04-05)

Approved for use through 04/30/2007. OMB 0651-0033

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(Also Form PTO-1050)**UNITED STATES PATENT AND TRADEMARK OFFICE
CERTIFICATE OF CORRECTION**Page 1 of 1

PATENT NO. : 7,173,367

APPLICATION NO.: 09/755,375

ISSUE DATE : 02/06/2007

INVENTOR(S) : Sanghera et al.

It is certified that an error appears or errors appear in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

Inventors: Jasbinder S. Sanghera, Greenbelt, MD (US); Ishwar D. Aggarwal, Springfield, VA (US); Shyam S. Bayya, Falls Church, VA (US); Cathy S. Scotto, Montclair, VA (US); David T. Schaafsma, Vista, CA (US); Guillermo Villalobos, Alexandria, VA (US)

MAILING ADDRESS OF SENDER (Please do not use customer number below):

US Naval Research Laboratory, 4555 Overlook Ave, SW, Washington, DC 20375

This collection of information is required by 37 CFR 1.322, 1.323, and 1.324. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1.0 hour to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: **Attention: Certificate of Corrections Branch, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

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JUN 20 2007

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UTILITY PATENT APPLICATION TRANSMITTAL (Only for new nonprovisional applications under 37 C.F.R. § 1.53(b))	Attorney Docket No. 82,919
	First Inventor or Application Identifier Bayya
	Title Coated Cathodoluminescent Phosphors II
	Express Mail Label No. _____

APPLICATION ELEMENTS See MPEP chapter 600 concerning utility patent application contents.	ADDRESS TO: Assistant Commissioner for Patents Box Patent Application Washington, DC 20231	
1. <input checked="" type="checkbox"/> * Fee Transmittal Form (e.g., PTO/SB/17) (Submit an original and a duplicate for fee processing)	5. <input type="checkbox"/> Microfiche Computer Program (Appendix)	
2. <input checked="" type="checkbox"/> Specification [Total Pages 19] (preferred arrangement set forth below) <ul style="list-style-type: none">- Descriptive title of the invention- Cross References to Related Applications- Statement Regarding Fed sponsored R & D- Reference to Microfiche Appendix- Background of the invention- Brief Summary of the invention- Brief Description of the Drawings (if filed)- Detailed Description- Claim(s)- Abstract of the Disclosure	6. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary) <ul style="list-style-type: none">a. <input type="checkbox"/> Computer Readable Copyb. <input type="checkbox"/> Paper Copy (identical to computer copy)c. <input type="checkbox"/> Statement verifying identity of above copies	
3. <input checked="" type="checkbox"/> Drawing(s) (35 U.S.C. 113) [Total Sheets 6]	ACCOMPANYING APPLICATION PARTS 7. <input checked="" type="checkbox"/> Assignment Papers (cover sheet & document(s)) 8. <input type="checkbox"/> 37 C.F.R. § 3.73(b) Statement of Power of Attorney (when there is an assignee) <input type="checkbox"/> Attorney 9. <input type="checkbox"/> English Translation Document (if applicable) 10. <input type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 <input type="checkbox"/> Copies of IDS Citations 11. <input type="checkbox"/> Preliminary Amendment 12. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) (Should be specifically itemized) 13. <input type="checkbox"/> * Small Entity Statement(s) <input type="checkbox"/> Statement filed in prior application, (PTO/SB/09-12) Status still proper and desired 14. <input type="checkbox"/> Certified Copy of Priority Document(s) (if foreign priority is claimed) 15. <input type="checkbox"/> Other: _____	
4. Oath or Declaration [Total Pages 1] <ul style="list-style-type: none">a. <input type="checkbox"/> Newly executed (original or copy)b. <input checked="" type="checkbox"/> Copy from a prior application (37 C.F.R. § 1.83(d)) (for continuation/divisional with Box 16 completed)<ul style="list-style-type: none">1. <input type="checkbox"/> DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior application, see 37 C.F.R. §§ 1.83(d)(2) and 1.33(b).		
NOTE FOR ITEMS 1 & 13: IN ORDER TO BE ENTITLED TO PAY SMALL ENTITY FEES, A SMALL ENTITY STATEMENT IS REQUIRED (37 C.F.R. § 1.83), EXCEPT IF ONE FILED IN A PRIOR APPLICATION IS RELIED UPON (37 C.F.R. § 1.83).		
16. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment: <input type="checkbox"/> Continuation <input type="checkbox"/> Divisional <input checked="" type="checkbox"/> Continuation-in-part (CIP) of prior application No: 09 144,105 Prior application information: Examiner Michael Day Group / Art Unit: 2879		
For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 4b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.		

17. CORRESPONDENCE ADDRESS	
<input checked="" type="checkbox"/> Customer Number or Bar Code Label (Insert Customer No. or Attach bar code label here)	or <input checked="" type="checkbox"/> Correspondence address below
Name John J. Karasek Associate Counsel (Intellectual Property)	
Address Code 1008.2, Naval Research Laboratory 4555 Overlook Ave., S.W.	
City Washington	State D.C.
Country USA	Zip Code 20375-5320
Telephone 202-767-3427	Fax 202-404-7380

Name (Print Type) John J. Karasek	Registration No. (Attorney/Agent) 36,182
Signature 	Date 11/4/2001

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Navy Case No. 78,868
Page 1 of 2

Declaration and Power of Attorney For Patent Application

As below named inventor, I hereby declare that: My residence, post office address and citizenship are as stated below next to my name.

I believe that I am the original, first, and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled: "COATED CATHODOLUMINESCENT PHOSPHORS", the specification of which:

(check one) ☒ is attached hereto. Was filed on 08/31/98 as
Application Serial No. 09/144,105
and was amended on _____

I hereby state that we have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, §1.56(a).

I hereby claim foreign priority benefits under Title 35, United States Code, §112 of any foreign applications for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:

Prior Foreign Applications		Priority Claimed		
(Number)	(Country)	(Day/Month/Year Filed)	Yes	No

I hereby claim the benefit under Title 35, United States Code, §120 of any United States applications listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, §112, we acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, §1.56(a) which occurred between the filing date of the prior application and the national or PCT international filing date of this application:

(Application Serial No.)	(Filing Date)	(Status)
		(patented, pending, abandoned)

POWER OF ATTORNEY: As a named inventor, I hereby appoint the following attorneys/and/or agent/s/ to prosecute this application and transact all business in the Patent and Trademark Office connected therewith, and hereby certify that the Government of the United States has the irrevocable right to prosecute this application:

Thomas E. McDonnell,
Reg., No. 26950

George Kap
Reg. No. 22898

SEND CORRESPONDENCE TO:
Associate Counsel (Patents), Code 3008.2
Naval Research Laboratory
Washington, D.C. 20375-5000

DIRECT TELEPHONE CALLS TO:
George Kap
(202)-494-1555

I hereby declare that all statements made herein of own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Full name of first inventor: Jasbinder S. Sanghera

Inventor's signature: Jasbinder S. Sanghera
Residence: Greenbelt, MD
Citizenship: USA
Post Office Address: 7224 S. Ora Court, Greenbelt, MD 20770

Date 11/2/98

Full name of second inventor: Ishwar D. Aggarwal

Inventor's signature: Ishwar D. Aggarwal
Residence: Fairfax Station, VA
Citizenship: USA
Post Office Address: 2811 S. Valley Dr., Fairfax Station, VA 22030

Date 11-10-98

794 Gambrell Ct., Springfield, VA 22153

Navy Case No. 78.862
Page 2 of 2Declaration and Power of Attorney For Patent Application (Continuation)

Full name of third inventor: Shyam S. Bayya
Inventor's signature: Shyam S Bayya Date 11/2/98
Residence: Falls Church, VA
Citizenship: USA
Post Office Address: 7705 Random Elm Ln., Apt #7-4, Falls Church, VA 22042

Full name of fourth inventor: Cathy S. Scott
Inventor's signature: Cathy S. Scott Date 11/2/98
Residence: Montclair, VA
Citizenship: USA
Post Office Address: 15530 Port Washington Court, Montclair, VA 22026

Full name of fifth inventor: David E. Schaufema
Inventor's signature: David E. Schaufema Date 12/2/98
Residence: Vista, CA
Citizenship: USA
Post Office Address: 903 Oza Ave Dr., Vista, CA 92084

Full name of sixth inventor: Guillermo Villalobos
Inventor's signature: Guillermo Villalobos Date 11/2/98
Residence: Alexandria, VA
Citizenship: USA
Post Office Address: 7101-N Rockridge Ln., Alexandria, VA 22315